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# **AAP Supplier EDI Barcode Shipping Label Guide**

**Version: 3.2**

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# Revision History

Date	Version	Description	Author
1/14/2015	3.0	Original Production Release	AEM
2/09/2015	3.1	Production version format corrections	AEM
12/03/2016	3.2	Updated wording and sample data to reflect accepted structures/options.	DRG

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## ***Table of Contents***

Revision History .....	2
<b>EDI Contacts</b> .....	<b>3</b>
<b>1.0 Purpose</b> .....	<b>5</b>
<b>2.0 Overview</b> .....	<b>5</b>
<b>3.0 856 ASN</b> .....	<b>6</b>
3.1 856 ASN Hierarchal Loop Structure .....	6
3.2 Correlating HL Segments to Physical Shipment Structure.....	7
3.4 Heirchical Levels Example .....	8
<b>4.0 Handling Unit</b> .....	<b>9</b>
4.1 Single P/O SOTI.....	9
4.2 Single P/O SOPI .....	10
4.3 Single P/O SOPI Multiple handling Units .....	10
4.4 Single P/O Banded Boxes SOPI .....	111
4.5 Single P/O SOTPI .....	11
4.6 Single P/O MIX SOTPI & SOTI. ....	12
4.7 Single P/O MIX SOTPI & SOI.....	12
4.8 Multi P/O MIX SOTPI.....	13
<b>5.0 Accepted Barcode Formats</b> .....	<b>13</b>
5.1 Shipping Label Creation.....	13
5.2 Bar Code Label (License Plate) Example .....	154
<b>6.0 Creating/Assigning Barcode ID for ASN and label</b> .....	<b>15</b>
6.1 Accetable Barcode Formats .....	15
6.2 Barcode Example.....	15
6.3 Additional labeling information .....	16
6.4 Getting UCC128 Shipping Label approved .....	16
<b>7.0 Determining Label Requirements and placement</b> .....	<b>17</b>
7.1 Scope of Labeling .....	17
7.2 Pallet Labeling .....	17
7.3 Pack Labeling .....	17
7.4 Loose Item Labeling .....	18
7.5 Small package Guidelines .....	18
7.6 Examples of Label Placement.....	19

## 1.0 Purpose

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The purpose of this initiative is to improve the warehouse receiving process through the use of electronic 856 Advanced Ship Notifications (ASNs) associated with License Plates on handling units containing both human readable data and industry standard scannable barcodes. **The labels discussed in this document are strictly for receiving purposes and should not be confused with UPC product labels.** Product labeling and shipping guides can be found at:

<http://www.advanceautoparts.com/merchterms/aapshipping.pdf>

EDI Questions should be directed to:

[EDISupport@Advance-Auto.com](mailto:EDISupport@Advance-Auto.com)

## 2.0 Overview

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An electronic 856 ASN matching the physical structure of the shipment, must be sent to Advance Auto Parts as soon as the truck is sealed. The ASN must contain the Bill of Lading number (or tracking number) as a reference on the shipment. The Packing Slip Identification (ID) reference number may be repeated across orders within an 856 ASN message, but cannot be repeated across different 856 ASN messages.

A License Plate (LP) must be used on the largest handling unit in the shipment to label Pallets, Cartons or loose Items. A handling unit is the largest physical object that is loaded on a truck for transport. The most common handling unit for a large shipment is the Pallet. For small shipments the largest handling unit is often the Carton. To view handling unit examples refer to section 4.0 of this document. Each LP must contain a valid scannable barcode with human readable text that can be tied back to the contents of the handling unit in the ASN.

*Note: Advance Auto Parts will only accept the Application Identifier (00) accompanied by the Serial Shipping Container Code, as a valid code. For details refer to section 5.0.*

The human readable text portion of the barcode (the license plate number or "LP") must be provided in the appropriate hierarchical level of the ASN message corresponding to the physical shipment. Refer to sections 3.0, 4.0 and 5.0 for ASN, handling unit, and bar code examples. When the shipment is received into the Advance Auto Parts destination, the LP is scanned and the handling unit is matched to the ASN information already in the Advance Auto Parts system.

*Note: Every shipment requires an 856 ASN with a MAN segment for each occurrence of the highest level of containerization (largest HU shipping).*

## 3.0 856 ASN

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### 3.1 856 ASN Hierarchal Loop Structure

The 856 ASN is the electronic representation of the supplier's physical shipment. The 856 uses hierarchal loops (HLS) to represent the physical shipment structure and content in electronic form. Hierarchal loops are designated by assigning the HL segment hierarchal level code HL03 a specific value. The HL03 values used in the Advance Auto Parts 856 ASN implementation are S, E, O, T, P, and I.

HL03 Explanations:

S = HL **Shipment** (Advance Auto Parts requires one HLS per 856)

E = HL **Equipment** (Optionally used to convey ocean container information. The HLE loops would repeat as children of the HLS and would contain subordinate HLO order loops. Please contact the Advance Auto Parts EDI team for questions on the use of the HLE loop. To reduce the size of this document no additional references to the HLE loop will be provided here but the information is available upon request.

O = HL **Order** (One HLO required per order on the shipment; the HLO loops would repeat as children of the HLS)

T = HL **Tare** (Pallet level, Child of the Order)

P = HL **Pack** (Case level, Child of the Order or Tare). As noted throughout, pack levels with parent of Tare are ignored since Advance Auto Parts uses the Container IDs associated with the highest handling unit.

I = HL Line **Item** (The individual unit or item requested in the PO1 segment of the 850 Purchase Order. Can be a child of the Order, Tare or Pack).

The HL loops can be sent in the following nested combinations to represent how the truck is loaded:

**S-O-I** *(Used only for loose item shipments such as a drum. On this structure a single MAN segment is required at item level and must contain a valid 20 digit bar code number matching the UCC128 label applied to the handling unit)*

**S-O-T-I** *This is our preferred structure to represent pallet(s) on a truck.*

**S-O-P-I** *This is our preferred structure representing loose cartons(s) on a truck.*

**S-O-T-P-I** Sending a pack level as a subset (Child) of the previously defined Tare Level is acceptable but unnecessary and will be ignored.

Correct hierarchical level (HL) Parent IDs (HL02) are required in order to process the details for each line item.

An ASN sent in the "Pick-and-Pack" format, can have multiple packaging levels. One Shipment can have one or more Orders. The packaging for an Order should include the required handling units and followed by the Items contained within. Sending a pack level as a subset (Child) of the previously defined Tare Level is acceptable but unnecessary and will be ignored.

### 3.2 Correlating HL Segments to Physical Shipment Structure

#### PLEASE READ:

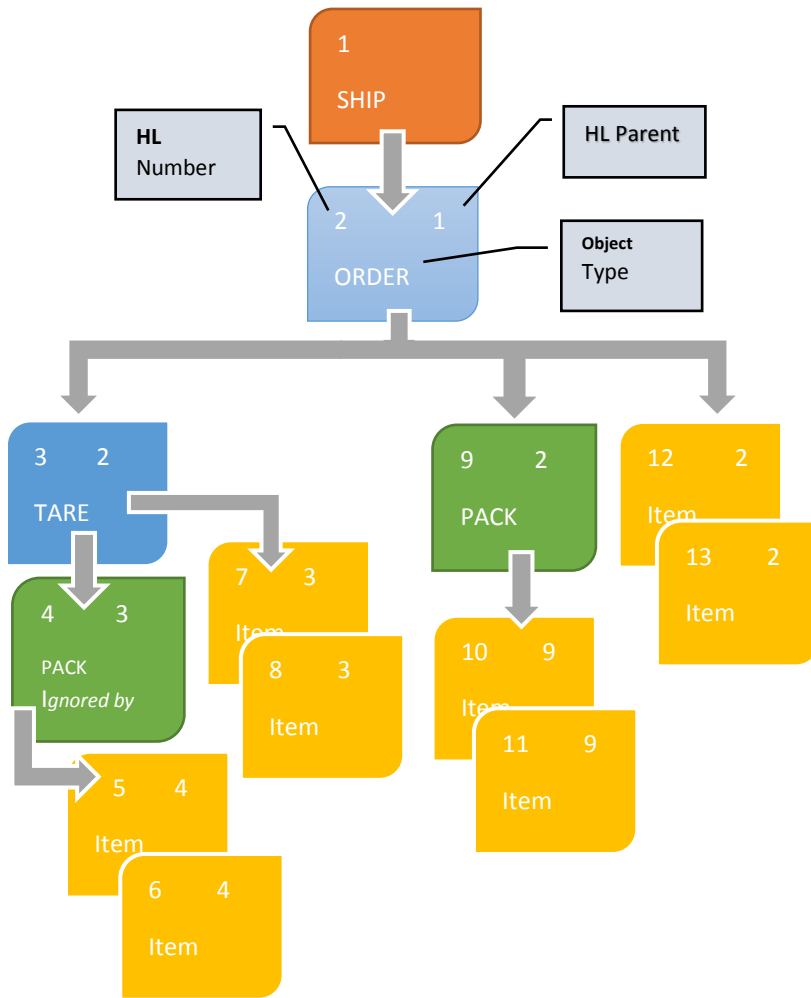
A barcode shipping label License Plate (LP) number must be provided in the MAN segment on each of the largest HL handling units (Pallet, Cartons, or Items).

In the 856 ASN:

A 'Tare' level (HLT) must be added to accommodate pallets, with the HLT MAN segment used to convey the shipping barcode ID. **Do not use the HLT MAN segment** for small package carton shipments or loose Item shipments.

A 'Pack' level (HLP) is required only when shipping loose cartons. When present the pack level requires the MAN segment with Barcode ID matching the UCC 128 label placed on the carton. Sending a pack level as a subset (Child) of the previously defined Tare Level is acceptable but unnecessary and will be ignored.

An 'Item' level (HLI) is required for every part shipped and must refer to the appropriate parent (Tare or Pack level previously defined above). If the item being defined here is not part of an above Tare or Pack, it must be a "loose item" (a part not on a pallet or in a carton-such as a drum) and must also include a MAN segment with a 20 digit bar code number matching the label attached.



```

ST*856*0001
BSN*00*9016858*20141114*
DTM*067*20141119
HL*1**S
TD1*MXD*4****G*2777*LB
TD5*B*2*FDEG*M*FedEx
REF*BM*8016855
N1*ST*Advance Auto DC*92*10
N1*SF*SAMPLE VENDOR*91*555666777
N1*VN*VENDOR*92*12345
HL*2*1*O
PRF*00861**Release Number*20141114
REF*PK*2016811
HL*3*2*T          <<S-O-T-P-I
MAN*GM*00107259750085851607
HL*4*3*P
MAN*GM*00107259750085852105
HL*5*4*I
LIN*1*BP*G20170-1616*VP*19*PL*1
SN1**1*EA*3
HL*6*4*I
LIN*1*BP*G20171-1616*VP*20*PL*2
SN1**1*EA*1
HL*7*3*I          <<S-O-T-I
LIN*1*BP*G20170-1616*VP*19*PL*1
SN1**1*EA*1
HL*8*3*I
LIN*1*BP*G20171-1616*VP*20*PL*2
SN1**1*EA*1
HL*9*2*P          <<S-O-P-I
MAN*AI*00107259750085851403
HL*10*9*I
LIN*1*BP*G20170-1616*VP*19*PL*1
SN1**1*EA*1
HL*11*9*I
LIN*1*BP*G20171-1616*VP*20*PL*2
SN1**1*EA*1
HL*12*2*I          <<S-O-I
LIN*1*BP*G20170-1616*VP*19*PL*1
SN1**1*EA*4
MAN*AI*00107259750085851306
HL*13*2*I
LIN*1*BP*G20171-1616*VP*20*PL*2
SN1**1*EA*1
MAN*AI*00107259750085851307
SE*46*0001
    
```

*NOTE: A Pack level with a parent Tare is ignored by Advance Auto*

**Note:** According to industry standards, each hierarchical level must indicate Hierarchical Parent ID as well as the hierarchical ID of the level. The only exception to the Hierarchical Parent ID being required is the Shipment level, which should not have an assigned Hierarchical Parent ID.



## 4.0 Handling Units

The highest level handling unit on the shipment (Tare/Pallet, Pack/Carton or loose Items) must include human readable barcode data as transmitted in the Marks and Numbers (MAN) segment of the HL loop representing the handling unit.

An ASN must be electronically received by Advance Auto Parts prior to the receipt of the physical shipment at the destination.

While Advance Auto Parts can accept License Plates (LPs) at the Pallet, Carton or Item handling unit level, the shipping scenario will dictate what the largest handling unit will be. For most shipments the largest handling unit is the Pallet. For small shipments the largest handling unit is usually the Carton. On occasion there will be a loose item that has no pallet, carton, or other container.

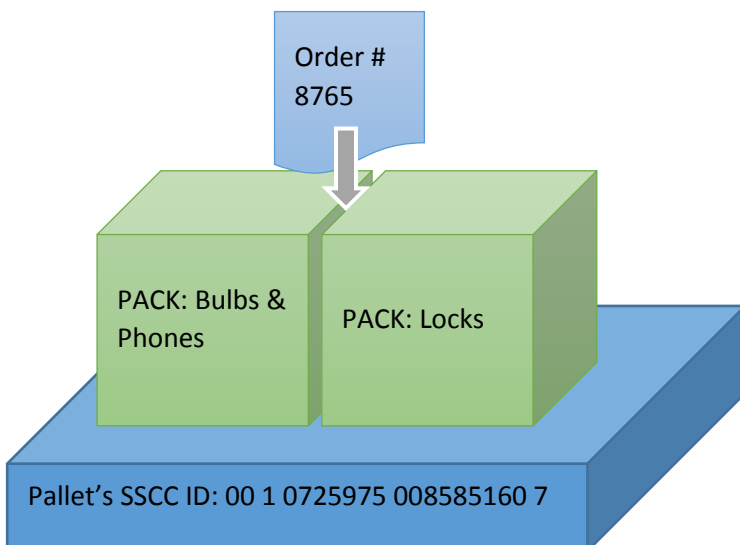
*Note: Advance Auto Parts audits 856 ASN shipments for data accuracy and timeliness.*

For a copy of Advance Auto Part's 856 ASN Specifications guideline, please contact EDI Support at 919-573-3111, or EDISupport@Advance-Auto.com

**Advance Auto Parts requires that only the highest level of containerization have a barcode shipping label (LP).**

### 4.1 Single P/O SOTI

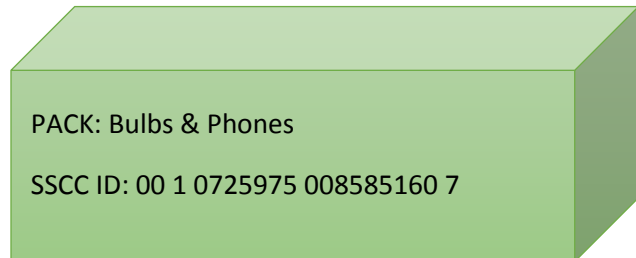
- The following example shows a Pick and Pack HL S-O-T-I container with a LP labeling the Pallet handling unit:



```
HL*2*1*O
PRF*8765***20141114
HL*3*2*T
MAN*GM*00107259750085851607
HL*4*3*I
  LIN*1*BP*BULB*VP*20*PL*7
  SN1**4*EA*4
HL*5*3*I
  LIN*2*BP*PHONE*VP*22*PL*8
  SN1**3*EA*6
HL*6*3*I
  LIN*3*BP*LOCK*VP*21*PL*9
  SN1**2*EA*4
```

#### 4.2 Single P/O SOPI

- This example shows a Pick and Pack HL S-O-P-I container with a single container with LP labeling the PACK handling unit. This example is representative of either an LTL or small package carrier ASN since there is a single pack level.

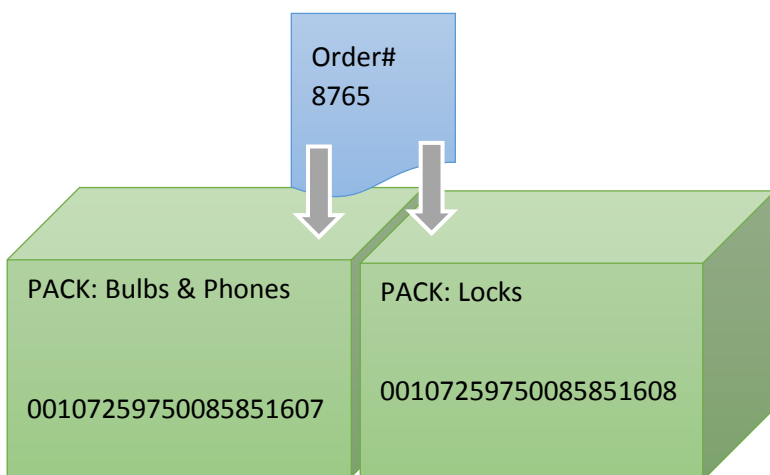


```
HL*2*1*O
PRF*8765***20141114
HL*3*2*P
MAN*GM*00107259750085851607
HL*4*3*I
  LIN*1*BP*BULB*VP*20*PL*7
  SN1**4*EA*4
HL*5*3*I
  LIN*2*BP*PHONE*VP*22*PL*8
  SN1**3*EA*6
```

#### 4.3 Single P/O SOPI with Multiple Handling Units

- The following example again, shows a Pick and Pack HL S-O-P-I with multiple container LPs labeling Carton (w/o pallet) handling units.

*Please note the two Cartons have different barcode IDs (LPs). The physical shipment should have an LP label on each carton with a unique barcode ID. This would reflect a shipment via truck only since for small package shipments such as UPS and FEDEX, Advance Auto Parts requires a separate ASN for each carton.*

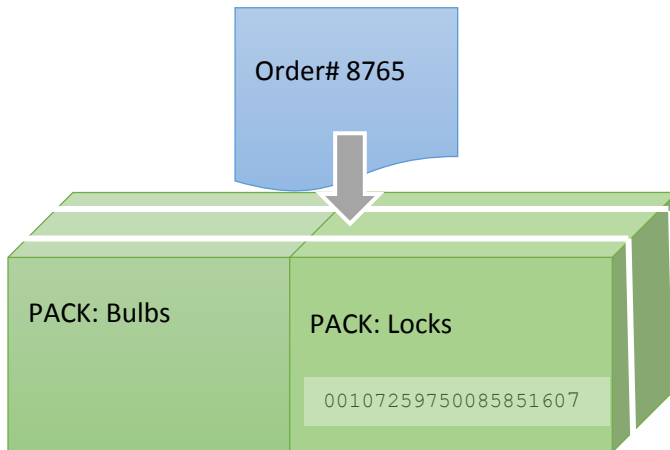


```
HL*2*1*O
PRF*8765***20141114
HL*3*2*P
MAN*GM*00107259750085851607
HL*4*3*I
  LIN**BP*BULB*VP*20*PL*1
  SN1**4*EA*4
HL*5*3*I
  LIN**BP*PHONE*VP*22*PL*3
  SN1**3*EA*6
HL*6*2*P
MAN*GM*00107259750085851608
HL*7*6*I
  LIN**BP*LOCK*VP*21*PL*2
  SN1**2*EA*4
```

#### 4.4 Single P/O Banded Boxes SOPI

- The following example shows a Pick and Pack HL S O-P-I with LP labeling shrink wrapped or banded Carton handling units.

Please note the two Cartons share the same LP barcode ID because they are shipping as one unit. The physical shipment should have a single LP label on it. This would be acceptable for both an LTL and Small Package Carrier ASN.

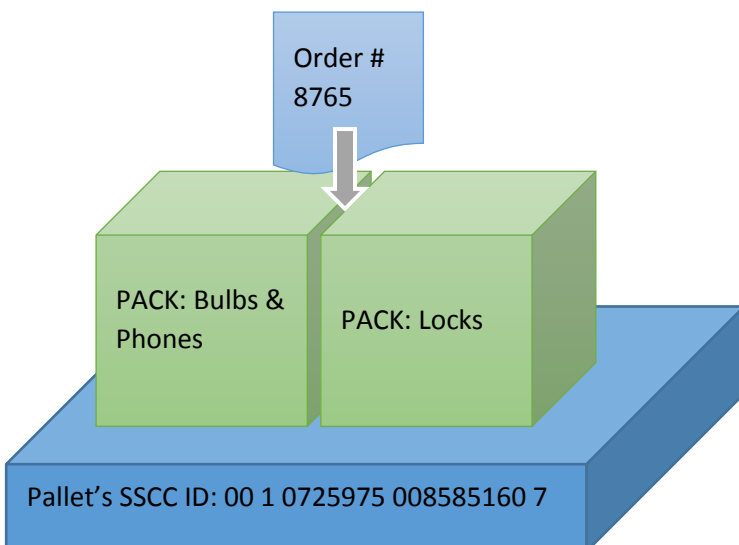


```
HL*2*1*O
RF*8765***20141114
HL*3*2*P
MAN*GM*00107259750085851607
HL*4*3*I
LIN**BP*BULB*VP*20*PL*3
SN1**4*EA*4
HL*5*3*I
LIN**BP*PHONE*PL*22*MF*ABC
SN1**3*EA*6
HL*6*3*I
LIN**BP*LOCK*PL*21*MF*ABCSN1**2*EA
SN1**3*EA*3
```

*Note: Bar Code label (LP) should not overlap the two cartons pictured*

#### 4.5 Single Order SOTPI

- This example shows a Pick and Pack HL S-O-T-P-I with a LP labeling the Pallet handling unit:

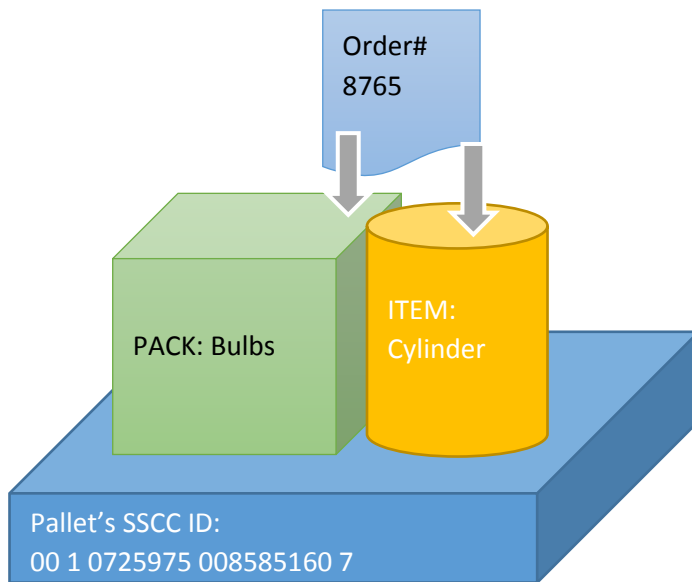


```
HL*2*1*O
PRF*8765***20141114
HL*3*2*T
MAN*GM*00107259750085851607
HL*4*3*P
MAN*GM*00107259750085854303
HL*5*4*I
LIN*1*BP*BULB*VP*20*PL*1
SN1**4*EA*6
HL*6*4*I
LIN*2*BP*PHONE*VP*22*PL*2
SN1**3*EA*3
HL*7*3*P
MAN*GM*00107259750085858132
HL*8*7*I
LIN*3*BP*LOCK*VP*21*PL*3
SN1**2*EA*4
```

*NOTE: When a parent Tare is provided pack info is not required or used by AAP*

#### 4.6 Single P/O MIX SOTPI & SOTI

- This example shows a Pick and Pack HL S-O-T-P-I and HL S-O-T-I container with an LP labeling the Pallet handling unit:

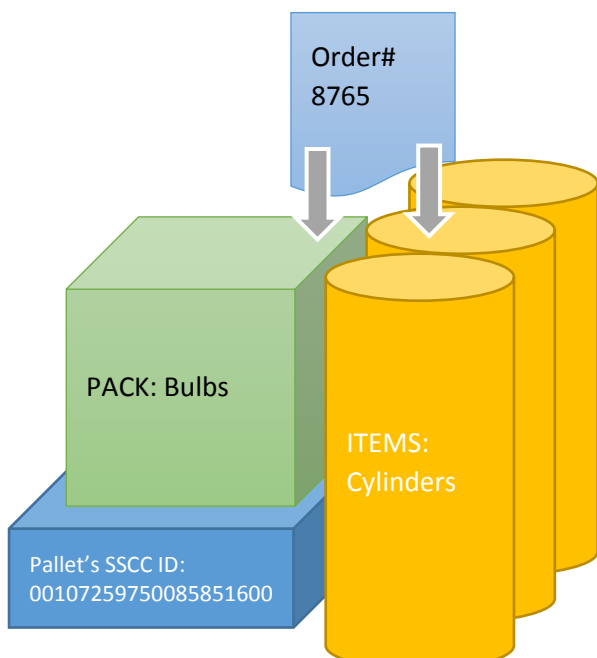


```
HL*2*1*O
  PRF*8765
HL*3*2*T
  MAN*GM*00107259750085851607
HL*4*3*P
  MAN*GM*00107259750085852701
HL*5*4*I
  LIN*1*BP*BULB*VP*10*PL*10
  SN1**6*EA*6
HL*6*3*I
  LIN*2*BP*CYLINDERS*VP*20*PL*78
  SN1**3*EA*6
```

*NOTE: When a parent Tare is provided, pack info is not required or used by AAP.*

#### 4.7 Single P/O MIX SOTPI & SOI

- The following example shows a mixed shipment. A Pick and Pack HL S-O-T-P-I and HL S-O-I container LPs labeling the Pallet and loose Item handling units (cylinders):

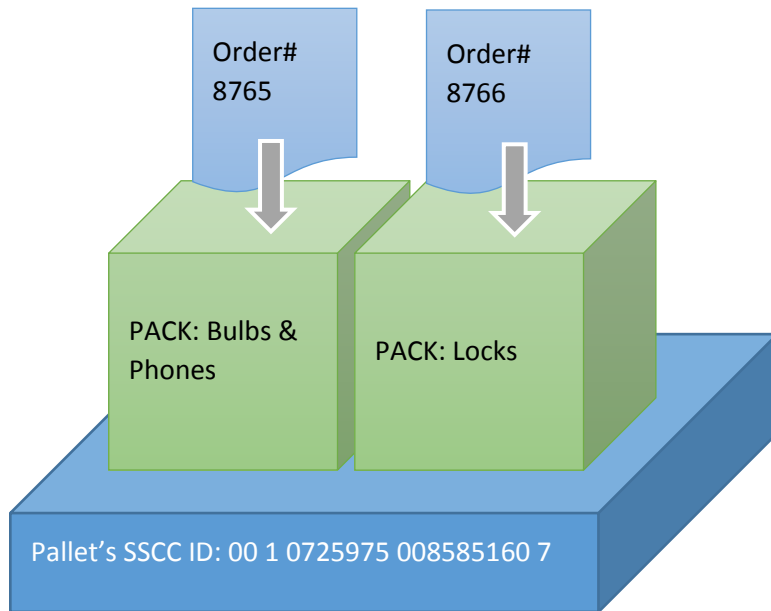


```
HL*2*1*O
  PRF*8765***20141114
HL*3*2*T
  MAN*GM*00107259750085851600
HL*4*3*P
  MAN*GM*00107259750085878012
HL*5*4*I
  LIN**BP*BULB*PL*10*MF*ABC
  SN1**6*EA*6
HL*6*2*I
  LIN**BP*CYLINDER1*VP*20*PL*1
  SN1**1*EA*2
  MAN*GM*00107259750085851601
HL*7*2*I
  LIN**BP*CYLINDER2*VP*21*PL*2
  SN1**1*EA*1
  MAN*GM*00107259750085851602
HL*8*2*I
  LIN**BP*CYLINDER3*VP*22*PL*3
  SN1**1*EA*3
  MAN*GM*00107259750085851603
```

*NOTE: When a parent Tare is provided, pack info is not required or used by AAP.*

## 4.8 Multi P/O SOTPI

- This example shows multiple orders to same destination. Please note the HLO looping and repeating HLT MAN segments because multiple orders are loaded on the same pallet:



```

HL*2*1*O
PRF*8765***20141114
HL*3*2*T
MAN*GM*00107259750085851607
HL*4*3*P
MAN*GM*00107259750085868342
HL*5*4*I
LIN*1*BP*BULB*VP*20*PL*1
SN1**4*EA*6
HL*6*4*I
LIN*2*BP*PHONE*VP*22*PL*2
SN1**3*EA*3
HL*7*1*O
PRF*8766***20141114
HL*8*7*T
MAN*GM*00107259750085851607
HL*9*8*P
MAN*GM*00107259750085854303
HL*10*9*I
LIN*3*BP*LOCK*VP*21*PL*3
SN1**2*EA*4

```

*NOTE: When a parent Tare is provided, pack info is not required or used by AAP.*

## 5.0 Creation of AAP UCC128 shipping label

### 5.1 Shipping Label Creation

**Advance Auto Parts accepts 4 x 6 inch and 4 x 6<sup>1/2</sup> inch labels.**

The printed label must contain the following information and be placed as noted in the example of the following page.

Ship From Address – Supplier's address, city, state and zip code

Ship To Address – Address, city, state and zip code

Carrier SCAC and name

Bill of lading or tracking number

DC designation (in large bold type)

Scannable serial shipping container code (with human readable number above or below)


Handling unit weight (optional)

Handling unit serialization (recommended)

Handling unit type (optional)

### 5.2 Shipping Label (License Plate) Example

- The following is an example of a 4 x 6 inch shipping label License Plate to be used on the largest containerized unit as the shipping scenario dictates:

From: Supplier Name 123 Supplier St. Charolette, NC 28201	Ship To: Advance Auto DC 10 2635 Millbrook Rd. Raleigh, NC 27604
	Carrier: YFSY Yellow Freight BOL 868573472389
weight: 271 lbs.	
Handling Unit: 1 of 3 Pallet	<b>10</b>
 (00) 112345671234567890	

### Barcode Shipping Label Specification

- From – Box field containing the SENDER Company and Address.
- To – Box containing the Advance Auto Parts destination address.
- This box must be blank
- Carrier Information – a box fields containing the carrier SCAC, carrier name, and BOL for the shipment.
- Weight – an optional box field containing the weight of the product in the handling unit (HU), Pallet, case, or item that the barcode label is affixed to.
- This box must be blank
- Handling Unit – An optional box field containing the number of HU’s associated with a specific shipment. This would be the total number of units (Pallets, Cases, or Items) that have an Advance Auto Parts required bar code label. Please note, this is not the total number of line items on the shipment.
- This box field contains the Advance Auto Parts location code that corresponds to the Ship To address displayed in BOX 2. (Should be a large bold font)
- SCAN BAR – This is the UCC128/SSCC-18 scanning tag. It must match the 20 digit number transmitted in the associated 856 MAN02.

*Note: All Fields are REQUIRED unless otherwise noted*

## 6.0 Creating/Assigning Barcode ID for ASN and label

---

### 6.1 Acceptable Barcode Formats:

- **GS1-128 (UCC/EAC-128)**

GS1-128, formerly referred to as UCC/EAC-128, uses Code 128 symbology, with Application Identifier (AI) prefixes to describe the meaning and format for the number(s) that follow it.

- **SSCC 18**

SSCC 18 is a widely used bar code format that is regulated by the Uniform Code Council. It is referred to as a Serial Shipping Container Code and is used in supply chain management for container tracing and internal control.

### 6.2 Barcode Example

The following example is from: [http://www.neodynamic.com/barcodes/SSCC\\_18\\_Barcode.aspx](http://www.neodynamic.com/barcodes/SSCC_18_Barcode.aspx)

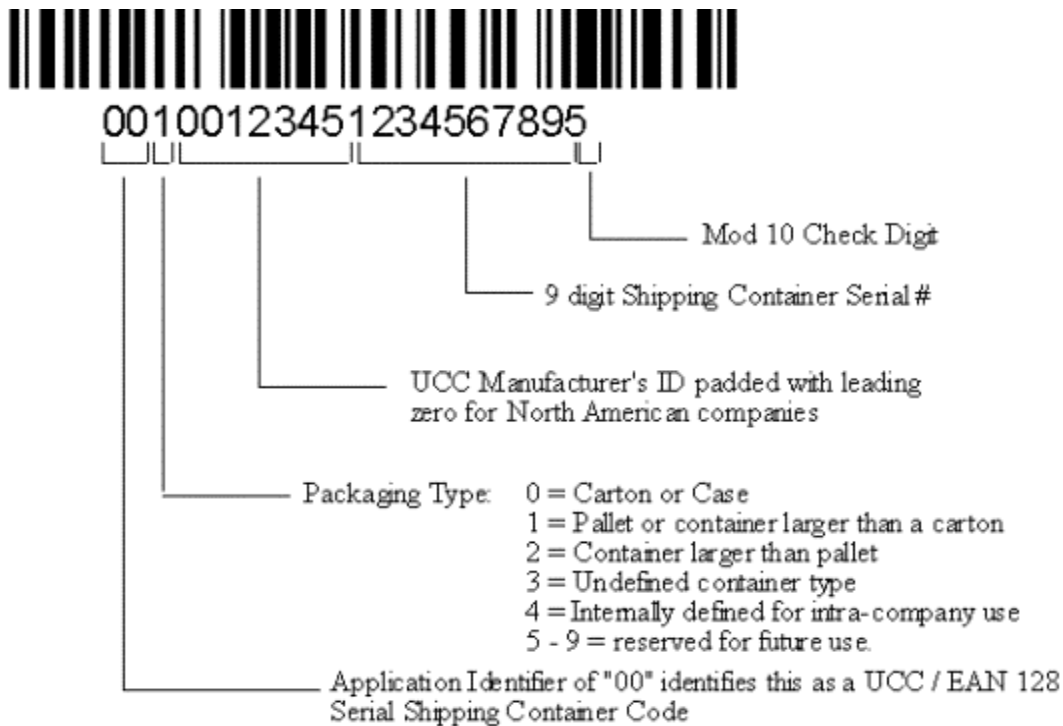
*Example:*



Advance Auto Parts requires the use of the AI “00” code, which references the Serial Shipping Container Code (SSCC) Followed by the balance of the numeric code which has a length of 18 and allows for the handling unit to be tied back to its contents. **The total length of the barcode label ID must always be 20.** Advance Auto Parts does not validate other code standards embedded within the label ID but they are described below.

### 6.3 Barcode format and additional information

The contents of the barcode number is as follows:



Helpful links:

Barcode number breakdown above <http://www.gs1-128.info/sscc-18/>

Searching for a GTIN Manufacturer ID <http://www.gtin.info/check-company-prefix/>

General information on GS1 implementation <http://www.gs1.org/productssolutions/barcodes/#1>

### 6.4 Getting Shipping Labels Approved

Shipping labels must be pre-approved by Advance Auto Parts before they are used. When submitting a shipping label proposal to Advance Auto Parts, email a scanned copy of the label until the basic format requirements are met. To complete label approval, mail/email a copy of the 856 EDI transaction, Pack Slip(s), and the associated shipping labels to Advance Auto Parts EDI Onboarding team at [EDIOnboarding@Advance-Auto.com](mailto:EDIOnboarding@Advance-Auto.com). Please include the following contact information:

- Company name
- Contact name
- Contact telephone number
- Contact FAX number
- Contact e-mail address.

After Advance Auto Parts EDI team has reviewed your data and test shipping labels you will be contacted and may be required to schedule an actual physical shipment test.



## 7.0 Determining Label requirement and placement

---

### 7.1 Scope of Labeling

The labels discussed in this document are strictly for receiving purposes and should not be confused with UPC product labels. Only material shipping to distribution centers require a shipping label. Material shipping to stores or individual consumers does not require a shipping label as described in this document but the 856 must still be sent and must contain a MAN segment with 20 digit barcode ID as indicated in sections 2.0 and 4.0.

Product labeling and shipping guides can be found at:

<http://www.advanceautoparts.com/merchterms/aapshipping.pdf>

Questions should be directed to:

[EDISupport@Advance-Auto.com](mailto:EDISupport@Advance-Auto.com)

### 7.2 Pallet Labeling

Each Pallet handling unit on a physical shipment must have a unique License Plate with barcode attached to the lower right hand box on the front and back of the Pallet. The barcode must be readable by electronic scanner and Advance Auto Parts receiving teammates. In the 856 ASN message, the MAN01 element in the HLT MAN segment must have the value of 'AI' (UCC/EAN-128 Application Identifier (AI) and Data) or 'GM' (SSCC-18 and Application Identifier).

On the physical shipment, the Pallets should be serialized, with the LP identifying each unique Pallet in the shipment (Handling Unit 1 of 3, Handling Unit 2 of 3, Handling Unit 3 of 3, etc.).

The following is an example of a HLT loop in the 856 ASN.

Example:

```
HL*8*3*T  
MAN*AI*00106862260024599671
```

### 7.3 Pack Labeling

Each Carton or banded group of cartons on the physical shipment must have a unique License Plate and barcode attached **when the Carton is the largest unit being shipped**. The barcode must be readable by electronic scanner and Advance Auto Parts receiving teammates. In the 856 ASN message, the MAN01 element in the HLP MAN segment must have the value of 'AI' (UCC/EAN-128 Application Identifier (AI) and Data) or 'GM' (SSCC-18 and Application Identifier). When Cartons or banded group of cartons are not on a Pallet they should be serialized per physical shipment, with the information conveyed on the LP for the individual Cartons or banded group of cartons (Handling Unit 1 of 3, Handling Unit 2 of 3, Handling Unit 3 of 3, etc.).

The following is an example of the HLP loop in the 856 ASN.

Example:

```
HL*8*3*P  
MAN*GM*00106862260024599672
```

As previously noted, Small package Carrier shipments (UPS, FEDEX etc.) are limited to a single handling unit per ASN thus if two loose cartons are being shipped they should be reported via separate ASNs .

#### 7.4 Loose Item Labeling

Each loose item on the physical shipment must have a unique barcode label (LP) attached. A loose item will not be containerized and is therefore the largest unit being shipped (largest handling unit). The barcode must be readable by both electronic scanner and Advance Auto Parts receiving teammates. In the 856 ASN message, the MAN01 element in the HLI:MAN segment must have the value of 'AI' (UCC/EAN-128 Application Identifier (AI) and Data) or 'GM' (SSCC-18 and Application Identifier).

In the 856 ASN, the HLI MAN segment should only be used if the item is loose on the physical shipment (not packed on a Pallet or in a Carton).

The following is an example of the HLI loop for a loose item:

Example:

```
HL*13*2*I
LIN*1*BP*G20170-1616*VP*26*PL*6*UP*0123454678900
SN1**1*EA*1*1
MAN*AI*00107259750085851307
```

#### 7.5 Small Package Guidelines

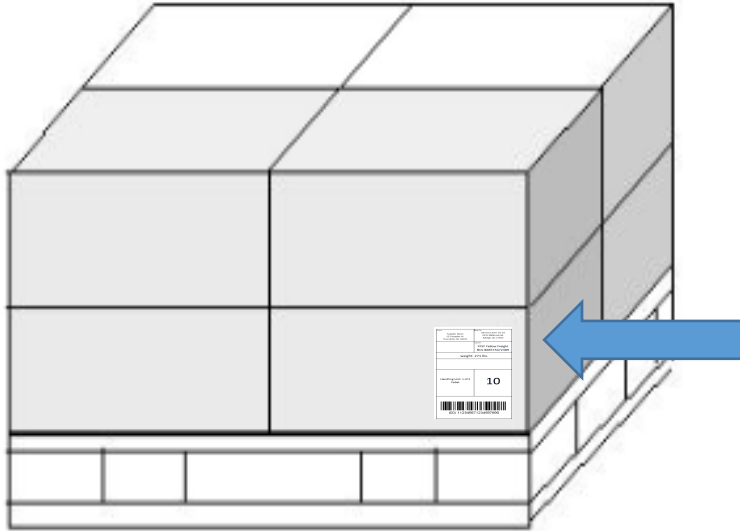
The packing list and labeling requirements are the same when shipping with a small package carrier (such as FedEx, UPS, DHL, etc.) as they are when shipping with a large package carrier. The following conditions must be met for small package ASNs:


- Small package carrier ASNs should be a single handling unit per ASN
- When possible small packages should be banded together to eliminate need for additional ASN(s)
- Barcode labels must be affixed on the handling unit when shipping to DC.
- The data on the barcode labels must match the HL:MAN (HLP, or HLI)
- The 856 ASN must be transmitted to Advance Auto Parts before the physical shipment is received.

Contact Advance Auto Parts EDI at [EDISupport@Advance-Auto.com](mailto:EDISupport@Advance-Auto.com) for additional information or questions on barcode labeling.

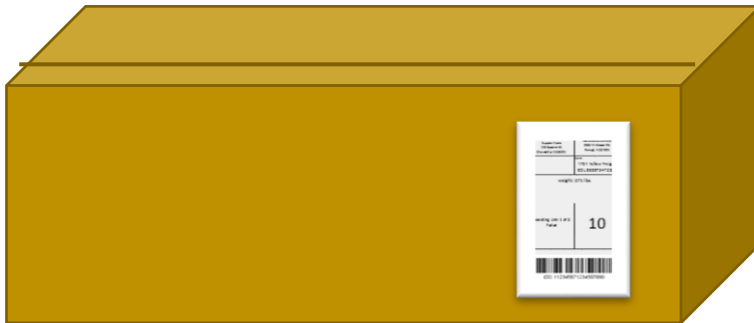
### 7.6 Examples of Label Placement on Pallets and Cartons

- For pallets, place the labels front and back diagonally from each other so when viewing the pallet from front or back the label placement is the same.



From: Supplier Name 123 Supplier St. Charlotte, NC 28201	Ship To: Advance Auto DC 10 2635 Millbrook Rd. Raleigh, NC 27604
	Carrier: YFSY Yellow Freight BOL 868573472389
weight: 271 lbs.	
Handling Unit: 1 of 3 Pallet	<b>10</b>
 (00) 112345671234567890	

- Loose Carton labeling example:



*For small shipments place the label on the side of the Carton.*

- Shrink wrapped or banded Cartons labeling example: Note that the label is not placed over the bands or across boxes

